



Ranking of tree-ring based temperature reconstructions of the past millennium



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ABSTRACT

Tree-ring chronologies are widely used to reconstruct high-to low-frequency variations in growing season temperatures over centuries to millennia. The relevance of these timeseries in large-scale climate reconstructions is often determined by the strength of their correlation against instrumental temperature data. However, this single criterion ignores several important quantitative and qualitative characteristics of tree-ring chronologies. Those characteristics are (i) *data homogeneity*, (ii) *sample replication*, (iii) *growth coherence*, (iv) *chronology development*, and (v) *climate signal* including the correlation with instrumental data. Based on these 5 characteristics, a reconstruction-scoring scheme is proposed and applied to 39 published, millennial-length temperature reconstructions from Asia, Europe, North America, and the Southern Hemisphere. Results reveal no reconstruction scores highest in every category and each has their own strengths and weaknesses. Reconstructions that perform better overall include N-Scan and Finland from Europe, E-Canada from North America, Yamal and Dzhelo from Asia. Reconstructions performing less well include W-Himalaya and Karakorum from Asia, Tatra and S-Finland from Europe, and Great Basin from North America. By providing a comprehensive set of criteria to evaluate tree-ring chronologies we hope to improve the development of large-scale temperature reconstructions spanning the past millennium. All reconstructions and their corresponding scores are provided at www.blogs.uni-mainz.de/fb09climatology.

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1. Introduction

Tree-ring chronologies (TRCs) are an important source of information in large-scale temperature reconstructions (IPCC, 2013; St. George, 2014). The latter are used to estimate temperature variability at continental (Luterbacher et al., 2016, Pages 2k Consortium, 2013, Trouet et al., 2013), hemispheric (Christiansen and Ljungqvist, 2012; D'Arrigo et al., 2006; Esper et al., 2002a; Ljungqvist, 2010; Ljungqvist et al., 2012, 2016; Mann et al., 2008; Schneider et al., 2015; Shi et al., 2013; Stoffel et al., 2015; Wilson et al., 2016; Xing et al., 2016) and global scales (Mann and Jones, 2003; Neukom et al., 2014) over the past 1000 years, enabling comparisons between climate variations during pre-industrial and industrial periods. The importance of TRCs in these reconstructions arises from the precise annual dating inherent to this proxy (Douglass, 1941) and a well-defined mechanistic understanding of the influence of temperature on tree growth (Fritts, 1976). The relative significance of tree-ring chronologies, compared to other proxies in large-scale reconstructions, increases back in time, as the number of annually resolved proxies rapidly declines towards the early centuries of the past millennium (Esper et al., 2004).

1.1. Basic tree-ring chronology characteristics

TRCs are typically composed of tree-ring width (TRW) or maximum latewood density (MXD) measurement series from many trees (Fritts, 1976). A TRC might extend over the entire past millennium if one or more individual trees are 1000 years or more in age. Such longevity, however, is restricted to only a few known locations (OldList at: www.rmtrr.org/oldlist.htm). Most millennial-length TRCs are therefore produced by combining samples from living trees with older material from archeological and historical structures (hereafter: historical samples), dead wood on the ground (remnant samples), or wood preserved under ground and in lakes (sub-fossil samples). The successful combination of living trees with historical/remnant/sub-fossil material improves when the provenance of all samples is ecologically consistent. If not, older sections of a millennial-length chronology can have different growth rates and climate signals than those sections dominated by samples from living trees (Boswijk et al., 2014; Linderholm et al., 2014; Tegel et al., 2010). For example, remnant samples from a sub-alpine site in the Alps are ideally combined with samples from living trees growing on the same slope, at the same elevation and aspect (Neuwirth et al., 2004); sub-fossil trees from a shallow lake in Fennoscandia are ideally combined with trees growing around the lake, as opposed to drier inland locations (Düthorn et al., 2013, 2015).

Combining living trees with historical/remnant/sub-fossil samples is not always straightforward. Habitat homogeneity in a TRC derived from living trees and in-situ remnant or sub-fossil wood from the same location may be high, but their combination with historical material can be more complicated. If, for example, the historical samples were obtained from an old building in a mountain valley, it often remains unclear in which position in the surrounding forests the harvested tree grew (Büntgen et al., 2006b). It is not uncommon for historical structures, particularly in alpine environments, to contain recycled material of unknown origin as a consequence of repairs and additions (Bellwald, 2000; Kalbermatten and Kalbermatten, 1997). Without detailed construction histories the researcher's ability to trace the origin of samples is limited (Büntgen et al., 2005; Wilson et al., 2004). The situation is further complicated if the samples in a TRC are from multiple locations spread over a large region, and if this region extends over several hundreds of kilometers. These problems, affecting the *Homogeneity* of a tree-ring dataset, are seemingly

reduced in TRCs from only living trees sampled at a single site.

Another important characteristic of millennial-length TRCs includes the number and temporal distribution of TRW (or MXD) measurement series averaged in the mean chronology. Varying sample replication is often reported when describing a new TRC, but is usually disregarded in large-scale temperature reconstructions. Typically, the number of measurement series included in a TRC declines back in time and might change from more than 100 living-tree samples in the 20th century to only a handful of samples (perhaps from a single historical structure) at the beginning of the last millennium. Acknowledging the effects of changing sample size by calculating temporally varying uncertainty estimates is not usually considered outside the tree-ring community (IPCC, 2013). However, this characteristic is important as the relevance of an individual TRC in large-scale proxy networks is commonly based on the strength of instrumental calibration of only the well-replicated 20th century data, thereby overlooking any pre-instrumental replication changes.

Similarly, the coherence among the TRW (MXD) series combined in a TRC, and temporal change thereof, is not considered in the non-dendrochronological literature (Frank et al., 2007). The inter-series correlation among sample measurements is an important characteristic of a mean chronology and is commonly computed to evaluate temporal changes of the chronology's signal strength (Fritts, 1976). The inter-series correlation is rarely stable and can change at (i) the transition from living trees to series from historical/remnant/sub-fossil material, or (ii) from a cluster of measurement series of a certain building to another building, or (iii) by the proportion of juvenile, mature, and adult growth rings (Cook and Kairiukstis, 1990). Gradual trends in the inter-series correlation, as well as step changes, are common in long TRCs and bare important information on the reliability of dendroclimatic reconstructions during pre-instrumental periods. Measures that assess the affect of changing sample size and inter-series correlation include the *Expressed Population Signal* and *Subsample Signal Strength* (Wigley et al., 1984). However, these metrics are not widely recognized beyond the tree-ring community and their combination with other uncertainties, e.g. from the unexplained variance of the calibration model or the choice of the detrending model, remains challenging (Esper et al., 2007).

Another important TRC characteristic is the degree to which a chronology retains the full spectrum of pre-instrumental temperature variance, which is affected by the method used for chronology development and the age-structure of the underlying data (Cook et al., 1995). Recent assessments of large datasets showed that instrumental meteorological measurements and tree-ring timeseries contain different frequency spectra (Ault et al., 2014; Bunde et al., 2013; Büntgen et al., 2015; Franke et al., 2013; Zhang et al., 2015), and that TRCs are limited in capturing millennial scale temperature trends (Esper et al., 2012b). To minimize the loss of long-term information, dendrochronologists apply detrending techniques that are specifically designed to preserve low frequency variance. The preferred approach is the Regional Curve Standardization (RCS) method, introduced to dendroclimatology by Briffa et al. (1992). However, RCS demands a large number of TRW (MXD) measurement series and requires the underlying data to represent a combination of short segments (trees) distributed more or less evenly throughout the entire chronology (Esper et al., 2003a). For example, if a TRC is composed of only very old living trees, the chronology's biological age will steadily increase towards the present. This causes the biologically younger rings to be concentrated at the beginning of the past millennium and the older rings in the modern period. This age structure limits the comparison of tree-rings of the same age over time, which is the backbone of RCS and related tree-ring detrending techniques (Melvin and

Briffa, 2008).

1.2. Objectives and structure

These basic characteristics of *Data Homogeneity*, *Sample Replication*, *Growth Coherence*, and *Chronology Development* are well known to dendroclimatologists. However, they are not usually recognized in the multi-proxy paleoclimate community and rarely, if ever, considered in large-scale temperature reconstructions derived from these data (IPCC, 2013). The most widely considered criterion for data screening and TRC selection is the calibration against instrumental climate data used to compose the predictor networks for large-scale temperature reconstruction (Mann et al., 2008; Neukom et al., 2014; Stoffel et al., 2015; Xing et al., 2016). While we acknowledge the importance of calibration statistics, as well as the methods used to transfer proxy records into estimates of climate variability (Bürger et al., 2006; Christiansen, 2011; Christiansen et al., 2009; Esper et al., 2005; Juckes et al., 2007; Lee et al., 2008; Smerdon et al., 2011, 2015; von Storch et al., 2004; Zorita et al., 2003), we believe additional consideration of the aforementioned TRC characteristics will improve the development of large-scale reconstructions.

In this article, we first describe *Data Homogeneity*, *Sample Replication*, *Growth Coherence*, *Chronology Development* and *Climate Signal*, and detail how these characteristics are combined in an ordinal scoring scheme. We apply this scheme to 39 tree-ring based temperature reconstructions reaching back to 1000 CE and use the results to rank the timeseries. This is done for each of the five characteristics separately and by combining their results in a final scoring scheme considering all characteristics. Potential implications of this ranking are discussed towards the end of the article and a list of recommendation that might help to improve the development of large-scale reconstructions is provided. The main objective of this paper is to promote basic dendrochronological characteristics on *Data Homogeneity*, *Sample Replication*, *Growth Coherence*, and *Chronology Development*, and to encourage their consideration when selecting records for future research, in addition to the classical calibration against instrumental climate data.

2. Data and methods

2.1. Temperature reconstructions

A survey of tree-ring based temperature reconstructions, with a minimum replication of three TRW (or MXD) measurement series reaching back to 1000 CE, returned 39 records (Table 1); „reconstructions“ are derived from “TRCs” typically by applying a linear transfer function or simple scaling; Esper et al., 2005). Fourteen records are from Asia, 13 from Europe, 8 from North America, and 4 from the Southern Hemisphere (SH). The reconstructions are not evenly distributed over the hemispheres, but are clustered in Fennoscandia, the European Alps, northern Siberia, high Asia, the Rocky Mountains, southwestern US, southern South America, Tasmania and New Zealand. The TRCs are located in regions characterized by different summer warming trends over the past 100 years (see the colored areas in Fig. 1). Compared to the Northern Hemisphere (NH), the SH is clearly underrepresented with only four records.

There are precedents of long TRCs with an inferred climate signal (e.g. LaMarche, 1973, 1974), but the first instrumentally calibrated, millennial-length record is the summer (previous-year December to current-year February; pDec-Feb) temperature reconstruction from very old (living) *Fitzroya cupressoides* growing in the Rio Alerce valley in southern Argentina (Villalba, 1990, Table 1). Other records developed at that time were later updated

by including new measurement series and/or reprocessed using new methods. A good example is the Torneträsk MXD chronology that was originally developed in the 1980s (Schweingruber et al., 1988), calibrated and reprocessed in the early 1990s (Briffa et al., 1990, 1992), updated in the early 2000s (Grudd, 2008), and recently again updated and reprocessed (Melvin et al., 2013). In those instances where there are multiple versions of a reconstruction, we cite the most recently published account as it contains references to all previous work.

The millennial-length temperature reconstructions are derived from various conifer species representing nine genera, with *Pinus* ($n = 14$ records) and *Larix* ($n = 12$) being most common. Seven reconstructions, including the early *Fitzroya cupressoides* record from Argentina (Villalba, 1990), are produced from only living trees, whereas the majority of chronologies ($n = 32$) are composed of tree-ring series from living trees combined with series from historical samples (e.g. Lötschental TRC from Switzerland; Büntgen et al., 2006a), remnant samples (e.g. Polar Ural TRC from Russia; Briffa et al., 2013), and sub-fossil samples (e.g. Oroko Swamp TRC in New Zealand, Cook et al., 2002). Some of these chronologies are composed of samples collected in well-constrained, ecologically homogeneous sites (Schweingruber, 1996) sites (e.g. Dzhelelo in Russia, Myglan et al., 2012b), whereas others combine data from different sites (e.g. Yamal in Russia, Briffa et al., 2013), and even from several valleys within a larger region (e.g. Karakorum in Pakistan; Esper et al., 2002b).

All TRCs included in this survey have either been calibrated against regional instrumental climate data and transferred into temperature units, or interpreted by the original authors as a temperature proxy. Interestingly, the different methods used to transfer TRW and MXD data into temperature units (Briffa et al., 1983; Cook et al., 1994; Esper et al., 2005) produces vastly different reconstructed temperature ranges, varying by only a few tenths to several degrees Celsius over the past millennium (thin black curves in Fig. 2; see Esper et al., 2012a for a regional example). Also, the season of maximum response to temperature (e.g. June–August, May–September, etc.) and the reconstructed climate target (e.g. mean, maximum, and minimum temperature) differ among the records (last column in Table 1).

Surprisingly, despite differences in (i) location and regional 20th century temperature trends (Fig. 1), (ii) species composition and sample sources (historical/remnant/sub-fossil), (iii) seasonality of the temperature signal, and (iv) transfer technique and reconstructed variance, the simple arithmetic mean of each “continent” (acknowledging that the records do not spatially represent NH continents) coheres astonishingly well over the past 1000 years (Fig. 2e). Correlations range from $r = 0.42$ between Asia and North America to $r = 0.48$ between Europe and Asia, and increase at decadal resolution to 0.66 (Asia/N-America) and 0.82 (Europe/Asia). This large-scale coherence indicates that some common external forcing affects this dendrochronological network (Fernández-Donado et al., 2013; Pages 2k PMIP3 group, 2015) and confirms the paleoclimatic significance of tree-ring data over the past millennium.

2.2. TRC characteristics and metrics

In this section, we describe the five basic TRC characteristics *Data Homogeneity* (2.2.1), *Sample Replication* (2.2.2), *Growth Coherence* (2.2.3), *Chronology Development* (2.2.4), and *Climate Signal* (2.2.5), commonly used by dendrochronologists to evaluate a chronology for climate reconstruction, and explain how statistical measures of these characteristics are used in an ordinal scoring scheme that is understandable to non-specialists. In those instances where the raw TRW and MXD data are publically available

Table 1

Millennial-length tree-ring based temperature reconstructions. Superscript * indicates reconstructions developed using MXD (instead of TRW). The Icefield reconstruction contains both MXD and TRW data. *Signal* specifies the seasonality of reconstructed temperatures, with *p* indicating previous-year months. *T* is temperature, *Tmax* is maximum temperature, *Tmin* is minimum temperature.

Record	Reference	Continent	Lat./Lon.	Species	Signal
Alps (Larch)	Büntgen et al., 2009	Europe	45–47N 6–14E	Larix decidua	Jun–Jul T
Boreal Plateau	Lloyd and Graumlich 1997	N-America	36.3N 118.5W	Pinus balfouriana	Annual T
Central Alps	Büntgen et al., 2011	Europe	46–47N 10–12E	Larix decidua, Pinus cem.	Jun–Aug T
Crabtree	Graumlich 1993	N-America	36.5N 118.3W	Pinus balfouriana	Jun–Aug T
Dulan	Liu et al., 2009	Asia	36N 98–99E	Sabina przewalskii	pJan–pDec T
Dzhelo	Mygland et al., 2012b	Asia	50N 87.9E	Larix sibirica	Jun–Jul T
E-Canada	Gennaretti et al., 2014	N-America	54–55N 70–72W	Picea mariana	Jul–Aug T
Finland	Helama et al., 2010	Europe	67–69N 20–28E	Pinus sylvestris	Jun–Aug T
French Alps	Büntgen et al., 2012	Europe	44N 7.3E	Larix decidua	Jun–Aug T
Great Basin	Salzer et al., 2014	N-America	37–40N 114–118W	Pinus longaeva	Jul–Sep T
Gulf of Alaska	Wiles et al., 2014	N-America	58–61N 134–149W	Tsuga mertensiana	Feb–Aug T
Icefield*	Luckman and Wilson 2005	N-America	51–53N 117–119W	Picea engel., Abies lasio.	May–Aug Tmax
Indigirka	Sidorova et al., 2006	Asia	70N 148E	Larix kajanderi	Jun–Jul T
Jämtland	Linderholm and Gunnarson 2005	Europe	63.2N 12–13E	Pinus sylvestris	Jun–Aug T
Karakorum	Esper et al., 2002b	Asia	35–36N 74–75E	Juniperus spec.	Annual T
Lauenen*	Schweingruber et al., 1988	Europe	46.4N 7.3E	Picea abies, Abies alba	Jun–Aug T
Lötschental*	Büntgen et al., 2006a	Europe	46.3N 7.8E	Larix decidua	Jun–Sep T
Mongolia	D'Arrigo et al., 2001	Asia	48.3N 98.9E	Pinus sibirica	pAug–Jul T
Mongun	Mygland et al., 2012a	Asia	50.3N 90E	Larix sibirica	Jun–Jul T
N-Scan*	Esper et al., 2012a,b	Europe	67–69N 20–28E	Pinus sylvestris	Jun–Aug T
Oroko Swamp	Cook et al., 2002	Australia	43.2S 170.3E	Lagarostrobos colensoi	Jan–Mar T
Polar Ural*	Briffa et al., 2013	Asia	66.8N 65.6E	Larix sibirica	Jun–Aug T
Qamdo	Wang et al., 2014	Asia	31.1N 97.2E	Sabina tibetica	pJan–pDec T
Qilian	Zhang et al., 2014	Asia	38.7N 99.7E	Sabina przewalskii	Jan–Aug Tmin
Rio Alerce	Villalba 1990	S-America	41S 73W	Fitzroya cupressoides	pDec–pFeb T
S-Chile	Lara and Villalba 1993	S-America	41.5S 72.6W	Fitzroya cupressoides	pDec–pMar T
S-Finland*	Helama et al., 2014	Europe	61–62N 28–29E	Pinus sylvestris	May–Sep T
Southern Colorado	Salzer and Kipfmüller 2005	N-America	35.3N 111.7W	Pinus aristata	Annual Tmax
Swiss/Austrian Alps	Büntgen et al., 2005	Europe	46–47N 7–11E	Larix decidua, Pinus cem.	Jun–Aug T
Taimyr	Briffa et al., 2008	Asia	70–72N 95–105E	Larix gmelinii	Jun–Jul T
Tasmania	Cook et al., 2000	Australia	41.8S 145.5E	Lagarostrobos franklinii	Nov–Apr T
Tatra	Büntgen et al., 2013	Europe	48–49N 19–21E	Larix decidua	May–Jun T
Tien Shan	Esper et al., 2003b	Asia	40N 71–72E	Juniperus spec.	Jun–Sep T
Torneträsk (MXD)*	Melvin et al., 2013	Europe	68.2N 19.5E	Pinus sylvestris	May–Aug T
Torneträsk (TRW)	Melvin et al., 2013	Europe	68.2N 19.5E	Pinus sylvestris	May–Aug T
Upper Wright	Lloyd and Graumlich 1997	N-America	36.3N 118.3W	Pinus balfouriana	Annual T
W-Himalaya	Yadav et al., 2011	Asia	32–33N 76–77E	Juniperus polycarpus	May–Aug T
Wulan	Zhu et al., 2008	Asia	37N 98.7E	Sabina przewalskii	pSep–Apr T
Yamal	Briffa et al., 2013	Asia	67–68N 69–71E	Larix sibirica	Jun–Jul T

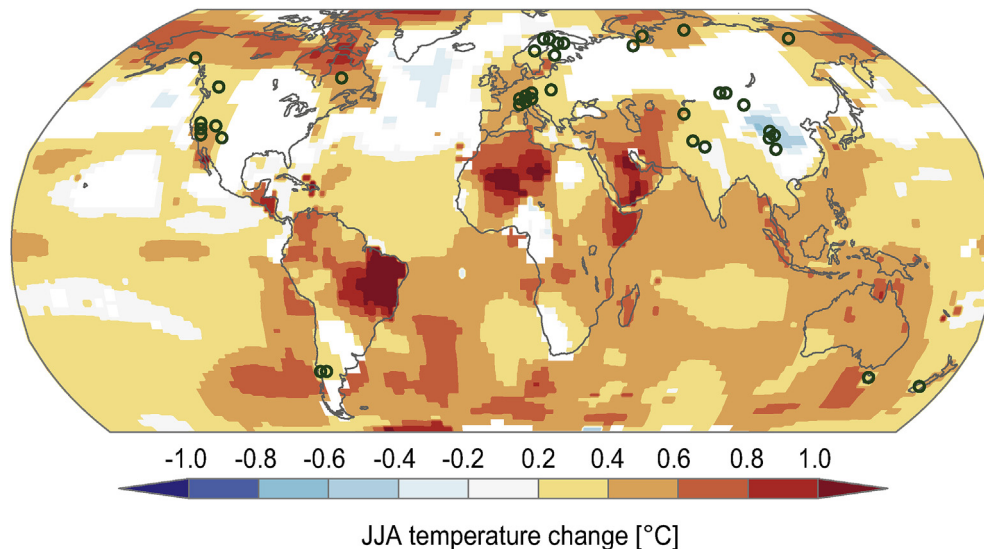


Fig. 1. Location of millennial-length tree-ring based temperature reconstructions (circles). Colors indicate the June–August temperature change between the mean of the period 1964–2013 minus the mean of the period 1914–1963 using GISS 1200 km gridded data. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

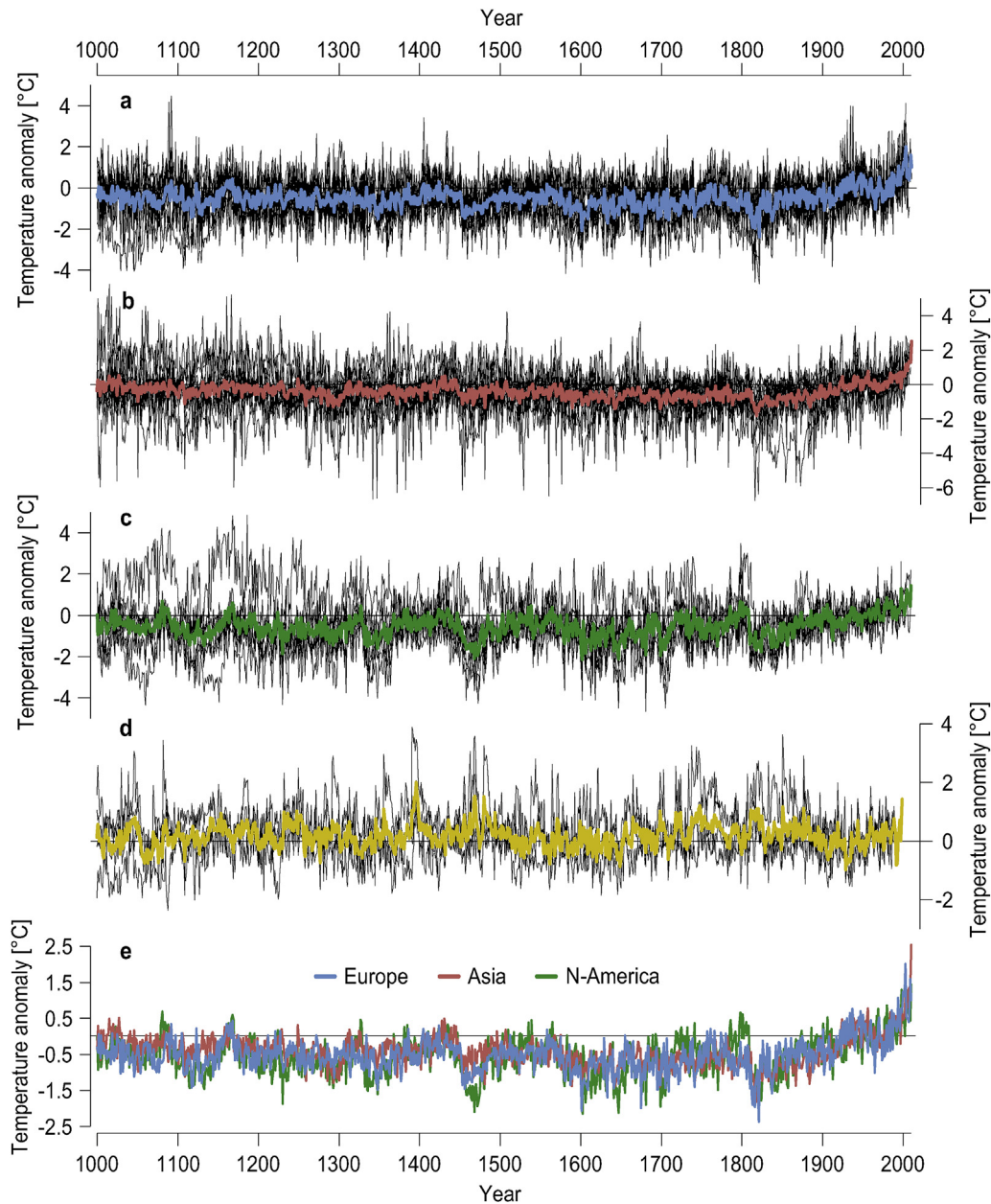


Fig. 2. Tree-ring based temperature reconstructions. Black curves are the 13 reconstructions from Europe (a), 14 from Asia (b), 8 from North America (c), and 4 from the Southern Hemisphere (d) shown as anomalies from their 20th century means. Note that the reconstructed temperature variance differs substantially among records, largely as a result of the differing calibration schemes used in the original publications. Colored curves are the arithmetic means calculated over the common period of all reconstructions in each region. (e) Comparison of the mean timeseries from Europe, Asia, and North America. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

or contributed by the authors (raw data at: www.blogs.uni-mainz.de/fb09climatology), we have re-calculated the metrics of interest. Where the original cross-dated measurements are not available (see last column in Table 7), we have estimated chronology scores based on information provided in the original articles. Such estimates are highlighted in red in the tables that follow. The calibration scores, resulting from the TRC's correlation against temperature data (2.2.5 *Climate signal*), are taken from the original articles. In the instances where no measure of calibration is detailed in the original article, we used nearby gridded data to provide an estimate of climate calibration.

For each characteristic (2.2.1 to 2.2.5) we used an ordinal scoring scheme to rank the reconstructions. To aid reconstruction comparison, results of the TRC scores are stratified into four classes:

class-A (highlighted in green in Tables 2–7), class-B (light green), class-C (light blue), and class-D (blue). Except for the first characteristic (2.2.1 *Data Homogeneity*), we highlight the ten top-ranked TRCs in green (ranks 1–10), the TRCs ranking 11–20 in light green, the TRCs ranking 21–30 in light blue, and the TRCs ranking 31–39 in blue. This hierarchical color scale is expanded in the *Data Homogeneity* category (5 green, 9 light green, 16 light blue, 9 blue) to account for the larger number of intermediate TRCs. For all reconstructions their individual ranks for each characteristic (2.2.1 to 2.2.5) are finally summed into an overall score.

2.2.1. Data homogeneity

Of the five characteristics introduced here, *Data Homogeneity* is the most descriptive as it is based on a combination of

Table 2

Data Homogeneity scores. Chronology type C refers to reconstructions derived from a composite of material from living trees, remnant, historical and/or sub-fossil wood. Type L refers to reconstructions derived from only living trees. Temporal clustering (Yes) indicates records composed of data from distinct sites or species concentrated in discrete periods over the past 1000 years.

6. Homogeneity						
5. Remark						
4. Temporal clustering						
3. Species number						
2. Chronology type						
1. Source						
Dzhelo	Fossil. One site.	C	1	No	Single treeline site.	●
Tasmania	Sub-fossil. One site.	C	1	No		●
Rio Alerce	One valley.	L	1	No	Living trees from one valley.	●
Qamdo	Fossil. One site.	C	1	No	Single site in high elevation (4350–4500 m asl.).	●
Mongolia	Fossil. One site.	C	1	No	Single treeline site.	●
Torneträsk (MXD)	Fossil. Around one lake.	C	1	No	Varying measurement techniques.	●
S-Chile	One valley.	L	1	No	Logged and living trees from one slope.	●
Oroko Swamp	Sub-fossil. One site.	C	1	No	Including samples from moist and dry microsites.	●
Southern Colorado	Fossil. Several sites.	C	1	No	Two summit areas of San Francisco Peaks.	●
Polar Ural	Fossil. Several sites.	C	1	No	Elevational transects.	●
Crabtree	Two sites.	L	1	No		●
Qilian	Fossil. Four sites.	C	1	No	152 out of 250 samples used for reconstruction.	●
Upper Wright	Fossil. One site.	C	1	Yes	Snag material from above current treeline.	●
Boreal Plateau	Fossil. One site.	C	1	Yes	Snag material from above current treeline.	●
N-Scan	Sub-fossil. Several lakes & sites.	C	1	No	Living trees from lakeshores.	●
E-Canada	Sub-fossil. Several lakes & sites.	C	1	No	Living trees from lakeshores.	●
Finland	Sub-fossil. Several lakes & sites.	C	1	Yes	Living trees from dry sites, sub-fossil from lakes.	●
Yamal	Sub-fossil. Multiple sites.	C	1	No	Two-curve RCS. Normal distribution transformation.	●
Lötschental	Historical. Two valleys.	C	1	Yes	Pre-1200 data from Simplon valley.	●
Torneträsk (TRW)	Fossil & sub-fossil. Several lakes & sites.	C	1	No		●
Indigirka	Fossil & sub-fossil. Several sites.	C	1	Yes	Trees from upper timberline & flood plain terrace.	●
Swiss/Austrian Alps	Historical & sub-fossil. Several sites.	C	2	Yes	Multiple RCS.	●
Mongun	Fossil. Multiple sites.	C	1	No	Multiple sites within 35 km ² .	●
Central Alps	Fossil, sub-fossil & historic. Several sites.	C	2	Yes	Several sites in Austria and Switzerland.	●
Dulan	Historical. Several sites.	C	1	Yes	Historical material from lower elevations.	●
French Alps	Fossil. Several sites.	C	1	No	Temporally varying climate signal reported.	●
Lauenen	Historical. Several sites.	C	2	No	Various buildings in lower elevation.	●
Wulan	One side.	L	1	Yes	50% weakly correlating samples removed.	●
Jämtland	Sub-fossil. Several lakes & sites.	C	1	No		●
Tatra	Historical. Several sites.	C	1	Yes	Historical data from lower elevation sites.	●
Taimyr	Sub-fossil. Multiple sites.	C	1	Yes	Elevational & latitudinal ecotones over larger region.	●
Icefield	Fossil & sub-fossil. Several sites.	C	2	Yes	Multiple RCS runs.	●
Alps (Larch)	Fossil/sub-fossil/historic. Multiple sites.	C	1	No	Multiple sites from larger region.	●
Gulf of Alaska	Fossil & sub-fossil. Multiple sites.	C	1	Yes	Multiple sites from larger region.	●
Tien Shan	Multiple sites.	L	2	Yes	Increasing correlation back in time.	●
S-Finland	Sub-fossil. Several lakes & sites.	C	1	Yes	Varying measurement techniques and micro-sites.	●
Great Basin	Fossil. Three sites.	C	1	No		●
Karakorum	Multiple sites.	L	2	Yes	Ten sites in five valleys.	●
W-Himalaya	Multiple sites.	L	1	No	Sites from 3200–3600 m asl. 100 km distance.	●

● Class-A ● Class-B ● Class-C ● Class-D

qualitative traits rather than quantitative measures. Homogeneity integrates information on the (i) source of wood samples, (ii) type of chronology, (iii) number of species, (iv) temporal

clustering, and (v) a remark (results shown in Table 2). “Source” includes information on the origin of wood samples and the number of sampling sites. We use “Sub-fossil” for samples from

Table 3
Sample Replication scores. The number of TRW (or MXD) measurement series included in the reconstructions. *11th/20th* is the ratio of the mean replication during the 11th century relative to the mean replication during the 20th century. Values in red are estimates.

	1. Mean	2. Maximum	3. Minimum	4. 11th/20th [%]	5. Replication
Mongun	79	124	14	250	●
Oroko Swamp	103	186	15	113	●
Dzhelo	36	57	11	251	●
E-Canada	185	282	84	47	●
Tasmania	61	76	11	170	●
N-Scan	53	197	25	38	●
Gulf of Alaska	176	352	43	33	●
Central Alps	208	405	74	25	●
Swiss/Austrian Alps	253	407	10	25	●
Dulan	159	218	42	34	●
Southern Colorado	55	69	17	85	●
Qilian	68	95	36	53	●
Torneträsk (TRW)	74	178	5	39	●
Lauenen	20	54	2	64	●
Indigirka	43	65	13	75	●
Qamdo	67	104	19	45	●
Icefield	123	274	29	20	●
Great Basin	73	116	24	34	●
Finland	91	436	24	13	●
Karakorum	115	203	23	18	●
Lötschental	43	80	8	23	●
S-Chile	21	25	16	97	●
Yamal	57	155	27	24	●
Upper Wright	34	43	20	58	●
Alps (Larch)	530	1490	19	2	●
Mongolia	30	51	13	39	●
Torneträsk (MXD)	21	76	5	17	●
Wulan	60	101	14	18	●
Polar Ural	22	56	7	18	●
Jämtland	35	105	3	20	●
French Alps	104	292	10	6	●
Crabtree	25	31	7	34	●
W-Himalaya	70	150	13	9	●
Taimyr	61	131	4	10	●
S-Finland	15	60	3	11	●
Tien Shan	83	203	5	5	●
Rio Alerce	35	49	5	15	●
Boreal Plateau	28	50	3	14	●
Tatra	73	271	3	3	●

● Class-A ● Class-B ● Class-C ● Class-D

lakes, bogs, etc., “Remnant” for dead wood on the ground, and “Historic” for samples from old buildings and archaeological structures. The *Homogeneity* score also considers whether the samples originate from one, several, or multiple sites, as far as this information could be obtained from the original publication or via personal communication with the authors. “Chronology type” differentiates between “C”; records composed of living plus relict (sub-fossil/remnant/historical) material, and “L”; records composed of samples from only living trees. The “Number of Species” in a TRC is typically one, but occasionally may be two. “Temporal clustering” refers to cases where the contribution of data from distinct homogeneous sites dominates specific periods of the past 1000 years (a condition that might require the

application of multiple RCS runs, Melvin et al., 2013). Finally, we included a “Remark” section summarizing specific features that are relevant to the *Homogeneity* score in support of the reconstruction’s ranking.

2.2.2. Sample replication

The temporal distribution of TRW (or MXD) measurement series in the reconstructions differs dramatically over the past millennium (Fig. 3). These changes are considered in the second metric by combining information on (i) mean replication, (ii) maximum replication, (iii) minimum replication, and (iv) the 11th/20th century ratio of measurement numbers. “Mean replication” is the average number of measurement series (core samples or

Table 4

Growth Coherence scores. Mean, maximum, and minimum correlations among the TRW (or MXD) series included in the reconstructions. 11th/20th is the ratio of the correlation during the 11th century relative to the 20th century correlation. Values in red are estimates.

	1. Mean	2. Maximum	3. Minimum	4. 11th/20th [%]	
Indigirka	0.45	0.59	0.31	153	●
Yamal	0.49	0.66	0.38	125	●
Taimyr	0.39	0.54	0.20	151	●
Finland	0.37	0.53	0.13	158	●
Boreal Plateau	0.44	0.65	0.29	116	●
Rio Alerce	0.26	0.49	0.30	149	●
S-Chile	0.27	0.45	0.18	152	●
Tien Shan	0.22	0.39	0.11	178	●
Crabtree	0.39	0.53	0.18	110	●
French Alps	0.41	0.58	0.31	92	●
Dulan	0.42	0.50	0.37	87	●
Upper Wright	0.42	0.57	0.30	80	●
E-Canada	0.23	0.48	0.11	120	●
N-Scan	0.41	0.74	0.24	69	●
Wulan	0.41	0.74	0.24	69	●
Qilian	0.31	0.40	0.20	105	●
Lötschental	0.33	0.58	0.02	100	●
Mongun	0.44	0.60	0.30	69	●
Dzhelo	0.44	0.63	0.29	66	●
Torneträsk (MXD)	0.44	0.61	0.24	66	●
Southern Colorado	0.35	0.49	0.23	79	●
Mongolia	0.39	0.72	0.16	61	●
Polar Ural	0.47	0.70	-0.20	75	●
Gulf of Alaska	0.26	0.39	0.14	92	●
Torneträsk (TRW)	0.38	0.57	0.18	61	●
Great Basin	0.33	0.46	0.24	62	●
Lauenen	0.42	0.74	-0.31	68	●
Jämtland	0.41	0.78	0.16	42	●
Oroko Swamp	0.18	0.26	0.13	92	●
Tasmania	0.27	0.46	0.18	55	●
Qamdo	0.20	0.39	0.10	65	●
Icefield	0.15	0.31	0.08	81	●
Karakorum	0.22	0.39	0.15	53	●
W-Himalaya	0.23	0.37	0.14	46	●
Swiss/Austrian Alps	0.17	0.37	0.08	33	●
Alps (Larch)	0.20	0.32	0.07	33	●
Central Alps	0.20	0.50	0.10	20	●
S-Finland	0.20	0.50	0.05	20	●
Tatra	0.28	0.68	-0.07	11	●

● Class-A ● Class-B ● Class-C ● Class-D

radii from disks) over the last millennium, considering all years from 1000 CE to the most recent year of a reconstruction. “Maximum replication” and “Minimum replication” refer to the maximum and minimum numbers of measurement series, which are typically reached in the modern and the early periods of a reconstruction, respectively (see the black curves in Fig. 3). The “11th/20th century ratio” acknowledges this exemplar replication curve shape, as well as its significance in the reconstruction: all TRCs are calibrated over the well-replicated 20th century, but the reconstruction period extends back to the, often weakly replicated, 11th century. The metric equals the mean 11th century replication divided by the mean 20th century replication, multiplied by 100. To produce the final *Replication* score, the first three

values are summed ($i + ii + iii$) and the resulting sum multiplied by (iv). If the reconstruction is produced using MXD data the *Replication* score ($(i + ii + iii) * iv$) is multiplied by 2 to account for MXD’s increased signal strength and higher production costs. Note that these choices, as well as those described below for the other TRC characteristics, are not statistically validated but made with the intention of combining descriptive measures commonly used in dendrochronology into an ordinal scoring system that can be used to compare and rank reconstructions.

2.2.3. Growth coherence

Another important characteristic influencing the temporally changing skill of tree-ring based climate reconstructions is the

Table 5
 Chronology Development scores. Detrending method 1 = RCS (and Signal Free), and 2 = individual detrending. Age range is the difference between highest and lowest point on the mean age curve over the past millennium. Age trend is the slope of a linear regression fit to the mean age curve over the past millennium (times 100). Maximum frequency indicates the wavelength of lowest frequency information retained in a reconstruction, with 1 = multi-centennial, 2 = centennial, and 3 = decadal. Values in red are estimates.

	1. Detrending method	2. Age range [yrs.]	3. Age trend	4. Maximum frequency	5. Chronology development
N-Scan	1	83	-0.6	1	●
Finland	1	107	-0.9	2	●
Torneträsk (TRW)	1	106	1.0	2	●
Lötschental	1	281	-0.9	2	●
E-Canada	1	66	2.1	2	●
Taimyr	1	136	4.2	1	●
Indigirka	1	200	1.9	2	●
Yamal	1	78	3.6	2	●
Polar Ural	1	142	3.3	2	●
Tatra	1	142	4.5	2	●
Torneträsk (MXD)	1	174	-4.6	2	●
Swiss/Austrian Alps	2	172	2.6	2	●
Jämtland	1	198	6.3	2	●
Oroko Swamp	1	278	6.3	2	●
Dzhelo	1	250	8.2	2	●
Icefield	2	203	6.2	2	●
Alps (Larch)	1	298	10.8	2	●
Central Alps	1	370	10.0	2	●
Lauenen	2	205	4.7	3	●
Mongolia	2	267	8.2	2	●
S-Finland	2	200	10.0	2	●
S-Chile	2	250	6.6	3	●
Mongun	2	172	8.2	3	●
Tasmania	1	254	24.2	2	●
French Alps	2	225	13.2	2	●
Gulf of Alaska	1	340	14.9	3	●
Tien Shan	2	268	18.1	2	●
Southern Colorado	2	299	19.0	2	●
Great Basin	2	285	20.8	2	●
Qilian	2	279	28.1	2	●
W-Himalaya	1	600	40.0	2	●
Boreal Plateau	2	434	27.6	2	●
Qamdo	2	495	28.9	2	●
Karakorum	2	568	31.5	2	●
Wulan	2	418	27.6	3	●
Upper Wright	2	528	42.1	2	●
Rio Alerce	2	510	43.6	3	●
Dulan	2	625	61.0	2	●
Crabtree	2	737	78.0	2	●

● Class-A ● Class-B ● Class-C ● Class-D

correlation between the TRW (MXD) measurement series (Frank et al., 2007; Osborn et al., 1997; Wigley et al., 1984). For those reconstructions where the raw data are available, we calculated the inter-series correlation (abbreviated “Rbar” in the dendrochronological literature; Cook and Kairiukstis, 1990) for 100-year segments, sliding in 10-year steps along the chronology (Fig. 4). The resulting timeseries reveal substantial differences among the TRCs (the black curves in Fig. 4), as well as a minor tendency towards reduced values back in time, particularly in some records from Europe and Asia. These characteristics are considered here in the Growth Coherence score by summing the (i) average inter-series correlation over the past millennium (mean Rbar), (ii) maximum

inter-series correlation in a single 100-year period (max. Rbar), and (iii) minimum inter-series correlation in a single 100-year segment (min. Rbar). The sum (i + ii + iii) is multiplied by (iv) the 11th/20th century Rbar ratio (in %).

2.2.4. Chronology development

A key component in the process of building a TRC is the detrending method used to remove tree-age related growth trends from the raw measurement series (Bräker, 1981; Cook and Kairiukstis, 1990; Cook et al., 1995). As mentioned above, RCS (Esper et al., 2003a) is currently accepted as the preferred method to preserve low frequency variance in TRCs. We acknowledge this

Table 6

Climate Signal scores. Length is the period of overlap with instrumental temperature data in years. Correlation is the Pearson correlation coefficient between the tree-ring chronology and the instrumental data over the calibration period. Calibration/verification difference indicates the correlation range between different periods of overlap with instrumental data. Truncation = 0.5 if the calibration period was shortened (e.g. due to divergence), truncation = 1 if this is not the case. Values in red are estimates.

	1. Length [yrs.]	2. Correlation	3. Calibration/verification difference	4. Truncation	5. Climate signal
Torneträsk (MXD)	147	0.84	0.02	1.0	●
N-Scan	131	0.77	0.03	1.0	●
Alps (Larch)	140	0.70	0.07	1.0	●
Lötschental	186	0.69	0.16	1.0	●
Swiss/Austrian Alps	139	0.64	0.03	1.0	●
S-Finland	253	0.63	0.18	1.0	●
Icefield	100	0.74	0.07	1.0	●
Central Alps	140	0.72	0.18	1.0	●
Yamal	123	0.67	0.10	1.0	●
Torneträsk (TRW)	147	0.60	0.10	1.0	●
Crabtree	116	0.58	0.03	1.0	●
Finland	128	0.66	0.15	1.0	●
Rio Alerce	77	0.65	0.04	1.0	●
E-Canada	102	0.61	0.11	1.0	●
Qamdo	53	0.71	0.03	1.0	●
S-Chile	78	0.61	0.05	1.0	●
Southern Colorado	86	0.68	0.15	1.0	●
Taimyr	57	0.77	0.18	1.0	●
Tasmania	106	0.68	0.25	1.0	●
W-Himalaya	104	0.65	0.25	1.0	●
Dulan	43	0.69	0.07	1.0	●
Tien Shan	102	0.45	0.06	1.0	●
Wulan	47	0.64	0.07	1.0	●
Polar Ural	123	0.52	0.21	1.0	●
Dzhelo	68	0.55	0.15	1.0	●
French Alps	102	0.42	0.10	1.0	●
Karakorum	115	0.31	0.01	1.0	●
Mongun	35	0.62	0.10	1.0	●
Gulf of Alaska	95	0.62	0.02	0.5	●
Oroko Swamp	79	0.64	0.03	0.5	●
Mongolia	112	0.44	0.20	1.0	●
Jämtland	86	0.63	0.09	0.5	●
Lauenen	76	0.34	0.08	1.0	●
Indigirka	45	0.61	0.10	0.5	●
Boreal Plateau	92	0.19	0.04	1.0	●
Great Basin	108	0.40	0.27	1.0	●
Upper Wright	92	0.17	0.03	1.0	●
Tatra	54	0.60	0.30	0.5	●
Qilian	53	0.76	0.63	1.0	●

● Class-A ● Class-B ● Class-C ● Class-D

view by (i) assigning TRCs produced using RCS a “1”, and TRCs produced using individual-series detrending methods (e.g. ratios from negative exponential curves or smoothing splines) a “2” (Cook and Peters, 1997). However, RCS only works well if the underlying measurement series are derived from a composite of (many) living and relict trees, ideally including young and old tree-rings evenly distributed throughout the past millennium (Esper et al., 2014). TRCs composed this way are characterized by age curves that are nearly horizontal over the past 1000 years (Fig. 5). In practice this is rarely the case. The age curves of some TRCs composed of very old living trees in, for example, North America and Asia are particularly steep (increasing-age towards present). In contrast, in Europe, where the majority of reconstructions are derived from composite

chronologies of historical and living-tree samples, the mean age curves are relatively flat (the blue curve in Fig. 5e).

We score these attributes by considering (ii) the maximum difference between the highest and lowest value in the age curve over the past millennium, and (iii) the slope of a linear regression fit to the age curve. We further consider (iv) the maximum retained low frequency information, ranging from multi-centennial = 1, to centennial = 2, to decadal = 3. For the final Chronology Development score we multiply (i) the method score (1 for RCS, 2 for individual-series detrending), with (ii) the (square root of the) max.-min. age difference, (iii) the (absolute) slope of the linear regression (times 100), and (iv) the maximum retained low frequency score (1–3, for multi-centennial, centennial, and decadal).

Table 7
 Ranking of 39 tree-ring based temperature reconstructions based on their *Data Homogeneity, Sample replication, Growth Coherence, Chronology Development, and Climate Signal* scores. Last column indicates which datasets are publicly available.

	1. Homogeneity	2. Replication	3. Growth coherence	4. Chronology development	5. Climate signal	6. Data
N-Scan	●	●	●	●	●	✓
E-Canada	●	●	●	●	●	✓
Finland	●	●	●	●	●	✓
Yamal	●	●	●	●	●	✓
Dzhelo	●	●	●	●	●	✓
Lötschental	●	●	●	●	●	✓
Torneträsk (MXD)	●	●	●	●	●	✓
Torneträsk (TRW)	●	●	●	●	●	✓
Indigirka	●	●	●	●	●	—
S-Chile	●	●	●	●	●	✓
Tasmania	●	●	●	●	●	✓
Swiss/Austrian Alps	●	●	●	●	●	✓
Oroko Swamp	●	●	●	●	●	✓
Southern Colorado (T)	●	●	●	●	●	✓
Mongun	●	●	●	●	●	✓
Central Alps	●	●	●	●	●	—
Taimyr	●	●	●	●	●	✓
Rio Alerce	●	●	●	●	●	✓
Polar Ural	●	●	●	●	●	✓
Qamdo	●	●	●	●	●	✓
Mongolia	●	●	●	●	●	✓
Dulan (T)	●	●	●	●	●	—
Crabtree	●	●	●	●	●	✓
Icefield	●	●	●	●	●	✓
Qilian	●	●	●	●	●	—
Alps (Larch)	●	●	●	●	●	✓
French Alps	●	●	●	●	●	✓
Lauenen	●	●	●	●	●	✓
Gulf of Alaska	●	●	●	●	●	✓
Upper Wright	●	●	●	●	●	✓
Boreal Plateau	●	●	●	●	●	✓
Wulan	●	●	●	●	●	✓
Jämtland	●	●	●	●	●	✓
Tien Shan	●	●	●	●	●	✓
S-Finland	●	●	●	●	●	—
Great Basin	●	●	●	●	●	✓
Karakorum	●	●	●	●	●	✓
Tatra	●	●	●	●	●	✓
W-Himalaya	●	●	●	●	●	—

Class-A
 Class-B
 Class-C
 Class-D

2.2.5. Climate signal

This final score considers some of the classic metrics used in paleoclimatic research, such as the correlation against monthly instrumental temperature data, averaged over the season of maximum response (see the last column in Table 1). However, as the period of overlap between instrumental and proxy data varies considerably among the reconstructions – largely due to the lengths of observational data available to researchers – we score *Climate Signal* by (i) the square root of the number of years of overlap between the TRC and instrumental record, multiplied by

the residual between, (ii) the correlation against climate data and (iii) a split calibration/verification difference. The latter metric is a standard criterion in dendroclimatology used to benchmark the temporal robustness of the relationship between proxy and instrumental data (Cook and Kairiukstis, 1990). However, the split calibration/verification differences are not always reported. In those instances we estimated the split calibration/verification difference based on our calculations using gridded temperature data. Finally, we include an additional variable (iv) to account for a calibration period that was intentionally shortened to avoid

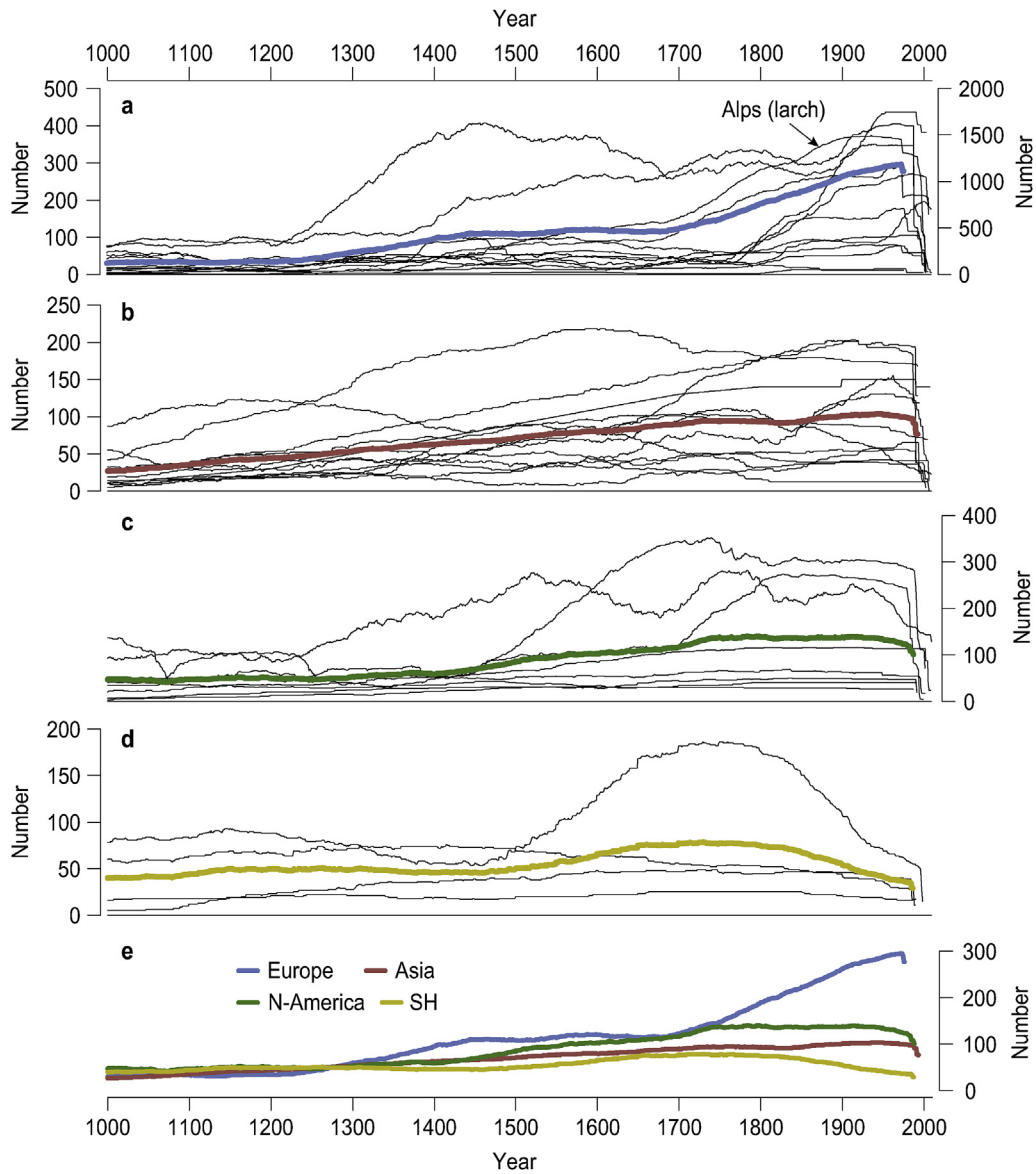


Fig. 3. TRC replication curves. Black curves show the changing numbers of TRW (or MXD) measurement series within the temperature reconstructions from Europe (a), Asia (b), North America (c), and the Southern Hemisphere (d). The replication curve of the Alps (larch) reconstruction in (a) refers to the right axis. Colored curves are the arithmetic means calculated over the common period covered by all reconstructions in each region. (e) Comparison of the mean curves. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

potential divergence issues (for details see Büntgen et al., 2008; D'Arrigo et al., 2008; Esper and Frank, 2009; Esper et al., 2010; Wilson et al., 2007). If such problems are reported in the original article, and the calibration period was truncated, we used 0.5 as a multiplier (1 if no such problem was detected). The final *Climate Signal* score was derived by: square root $i * (ii - iii) * iv$.

3. Results and discussion

3.1. Overall TRC ranking

Our assessment of 39 millennial-long TRCs' *Data Homogeneity*, *Sample Replication*, *Growth Coherence*, *Chronology Development*, and *Climate Signal* is presented in Tables 2–6. The final ranking (Table 7), derived from the sum of all scores, reveals that no reconstruction consistently dominates in the top group (class-A, dark green dots in the tables) in all five categories. Four records (N-Scan, E-Canada,

Finland, Dzhelo) score high (class-A or class-B) in four out of the five categories, and one record (Yamal) scores high in three. However, each of these, overall best-ranked reconstructions, scores less well (class-C: light blue dot) on at least one criterion, mostly *Data Homogeneity* (four records).

There are ten records (W-Himalaya, Tatra, Karakorum, Great Basin, S-Finland, Tien Shan, Jämtland, Wulan, Gulf of Alaska, French Alps) with weak scores (class-C or class-D) in four categories. W-Himalaya is the only reconstruction scoring in class-D in four: *Data Homogeneity*, *Sample replication*, *Growth Coherence*, and *Chronology Development*. This low scoring, combined with a class-B rank in *Climate Signal*, places the W-Himalaya reconstruction at the bottom of Table 7. However, the raw data are not available for this reconstruction (see the last column) and several scores had to be estimated (highlighted in red in Tables 3–6). The same is true for several other reconstructions, and it seems advisable to emphasize the coarse categorization into four classes (A to D) rather than the

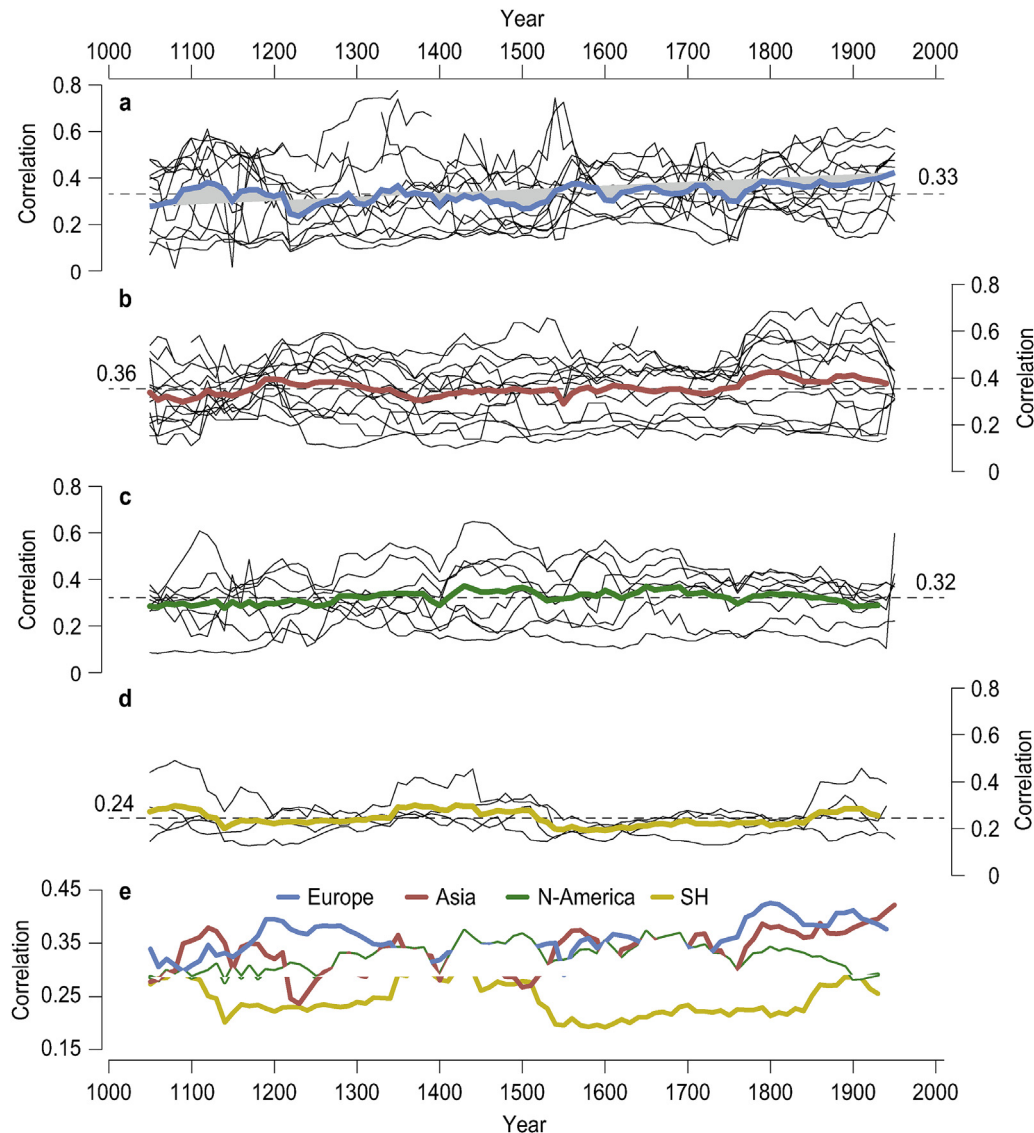


Fig. 4. TRC inter-series correlations. Black curves show the correlation coefficients among the TRW (or MXD) measurement series integrated in the local temperature reconstructions from Europe (a), Asia (b), North America (c), and the Southern Hemisphere (d). Correlations are calculated over 100-year periods shifted in 10-year steps throughout the past millennium. The earliest value is centered on 1050, the most recent value on 1950. Colored curves are the arithmetic means calculated for each region, and dashed lines indicate the mean values over the millennium. (e) Comparison of the mean inter-series correlation curves. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

precise ordering in our tables.

3.2. Detailed TRC rankings

Four reconstructions (Dzhelo, Tasmania, Rio Alerce, Qamdo, Mongolia) rank at the top in *Data Homogeneity* (Table 2). The data used in these TRCs include samples from living trees, as well as remnant and sub-fossil material from a single site or valley (with one exception; Rio Alerce comprised of only living trees). These top-ranked records are followed by a group of nine reconstructions that were sampled from slightly less homogeneous conditions, e.g. including data at moist and dry micro-sites, from different elevations, and measured using different techniques. Despite their less than ideal *Data Homogeneity* score, these reconstructions are still more homogeneous compared to a number of TRCs ($n = 16$) that integrate data from multiple sites in different valleys, regions, and/or elevations (light blue dots in Table 2). Such large differences in habitat can introduce substantial growth rate variations that are

difficult to differentiate from long-term temperature variations. In addition, the climate signal might change between samples from different elevations and micro-sites. These potential biases are likely most severe in the nine TRCs ranking last (dark blue dots in Table 2). The two end members (Karakorum and W-Himalaya) are produced using living trees only, sampled from multiple sites, located in different valleys and at different elevations, with distances up to 100 km between sites, and including two tree species (Karakorum). Clearly these TRCs contain a less homogeneous sample composition compared to the top-ranked records that include samples from one, well-constrained site.

The reconstructions scoring well in *Data Homogeneity* are not necessarily top-ranked in *Sample Replication* (Table 3). To appear in the top group in *Sample Replication*, it is necessary not only to include a large number of TRW or MXD measurement series, but have these samples evenly distributed throughout the past millennium. Bumps from very high to very low replications in certain periods, as well as large differences between 20th and 11th century

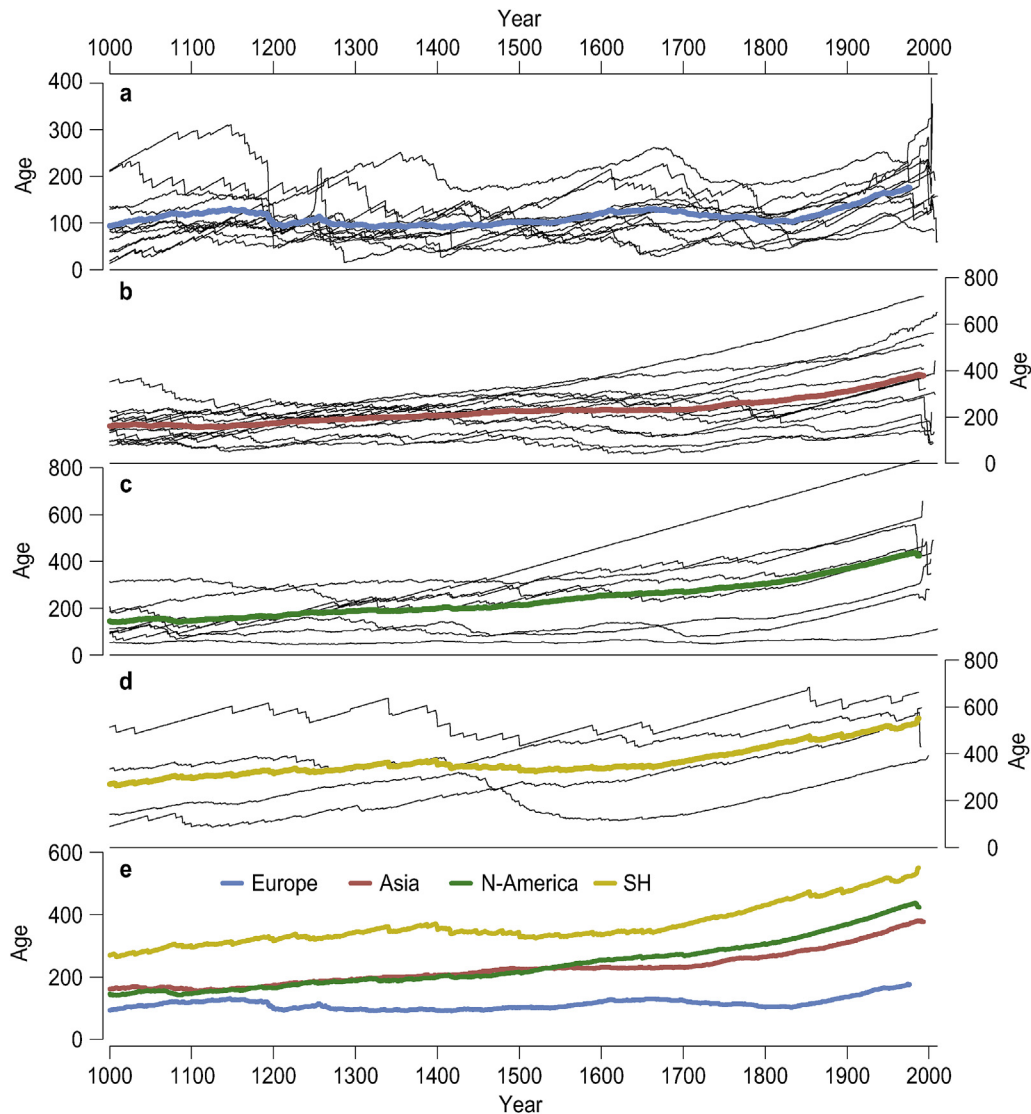


Fig. 5. TRC age curves. Black curves show the mean tree age of the TRW (or MXD) data integrated in the temperature reconstructions from Europe (a), Asia (b), North America (c), and the Southern Hemisphere (d). Colored curves are the arithmetic means calculated over the common period covered by all reconstructions in each region. (e) Comparison of mean replication curves. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

replications, result in a lower score. Among the records performing well in *Replication* are two TRCs from Central Asia (Mongun and Dzhelo) and one from New Zealand (Oroko Swamp). These records score particularly well in the 11th/20th century ratio, reaching values $> 100\%$. Other reconstructions, such as the Alps (larch) and Swiss/Austrian Alps TRCs include many samples (530 and 253 respectively over the past millennium), but contain a dramatic replication decline from the 20th to the 11th centuries (down to 2% and 25%, respectively), limiting these reconstructions' skill in the early period of the past millennium. The TRCs scoring weakest in *Replication* (Tatra, Boreal Plateau, Rio Alerce, Tien Shan) are characterized by low minimum replications ($n \leq 5$ series) and small 11th/20th century ratios ($\leq 15\%$). These records might perform well when calibrated against 20th century instrumental temperature data, but there is considerable risk that this 20th century skill does not persist over the past millennium simply because the number of samples changes drastically back in time.

Since more than the sheer number of measurement series is important, we also considered the reconstructions' inter-series correlations (Table 4). The three TRCs scoring best in this category

(Indigirka, Yamal, Taimyr) are all located in northern Siberia, where growth variations among trees are synchronized by harsh climatic conditions during a rather short growing season. These top-ranked records are characterized by inter-series correlations that do not fall below $R_{bar} = 0.20$ at any time over the past millennium (minimum correlation in Table 4) and reach values $> 100\%$ in their 11th/20th century ratio. Other mid-ranked TRCs, such as Polar Ural (class-B) and Jämtland (class-C), display either very low minimum R_{bar} values (-0.20 in Polar Ural) or substantially decreasing R_{bar} values from the 20th century back to the 11th century (42% in Jämtland). Another interesting example of a class-C TRC is Oroko Swamp, which is characterized by only minor R_{bar} changes back in time (92%), but an overall low mean inter-series correlation ($R_{bar} = 0.18$). Finally, the TRCs scoring weakest (Tatra, S-Finland, Central Alps) are characterized by severe correlation declines, down to $\leq 20\%$ back in the 11th century, and either a low mean R_{bar} (0.20 estimated for S-Finland and Central Alps) or negative minimum R_{bar} values (-0.07 in Tatra). In these cases it seems advisable to anticipate substantial changes in the chronologies' signal strength over the past millennium as the coherence among their

constituent measurement series is extremely variable. If the inter-series correlation drops significantly, reductions in TRC variance, and a tendency towards the long-term mean are to be expected.

The three top-ranked reconstructions in the *Chronology Development* category are all from Northern Europe (N-Scan, Finland, Torneträsk (TRW)), followed by records from the Alps (Lötschental) and Canada (E-Canada) (Table 5). These reconstructions, as well as the other class-A and class-B TRCs (green and light green in Table 5, total $n = 20$), are all composed of a mixture of living trees and historical/remnant/sub-fossil samples, facilitating the application of RCS for optimal conservation of low frequency variance (Autin et al., 2015; Briffa et al., 1992; Esper et al., 2003a). The top-scoring Northern European records are, however, additionally characterized by small age ranges (<110 years) and only minor (positive and negative) linear trends in the mean age curves. The top-ranked N-Scan record is reported to contain millennial scale temperature variance (Esper et al., 2012b), a feature also seen in the Taimyr reconstruction from Northern Siberia. The subsequent mid-ranked TRCs are characterized by age ranges from ~150 to 300 years, as well as linear trend angles ranging from ~5 to 30°. Some class-C records were standardized using individual detrending methods, including the Swiss/Austrian Alps, Lauenen and Mongolia reconstructions, an approach more commonly found in the TRCs towards the bottom of Table 5. The application of individual detrending methods has been shown to systematically limit the low frequency variance retained in TRCs (Cook et al., 1995). This limitation is reflected in the maximum frequency metric included here, indicating that six reconstructions (Rio Alerce, Wulan, Gulf of Alaska, Mongun, S-Chile, Lauenen) maximally retain decadal scale temperature variance. These records, as well as some of the individually detrended TRCs, should not be used with the objective of reconstructing the full spectrum of temperature variance over the past millennium (e.g. Mann et al., 2008).

By comparison to *Data Homogeneity*, *Sample Replication*, *Growth Coherence*, and *Chronology Development*, measures of climate signal strength are widely recognized in the paleoclimatic community. However, a good correlation between tree-ring proxy and instrumental temperature data alone is a fairly incomplete description of reconstruction skill. For example, if a TRC includes many more samples during the 20th century (*Sample Replication* metric), or the samples originate from different valleys (*Data Homogeneity*), or the mean age curve declines severely back in time (*Chronology Development*), the 20th century calibration statistics provide little information about the signal strength over past centuries. That being said, we here assess climate signal strength based on the length of the calibration period, the correlation strength with instrumental data, the calibration/verification difference and any, seemingly arbitrary, truncation of the calibration period.

The reconstructions scoring best for *Climate Signal* are all from regions where instrumental records of 100 years and longer are available for calibration (Table 6). The three top-ranked records (Torneträsk (MXD), N-Scan, Alps (larch)) all correlate at $r \geq 0.70$ against instrumental temperature data, with only minor differences (<0.10) between calibration and verification periods. Other reconstructions, with calibration period correlations $r \geq 0.70$, albeit over shorter periods (53 years in Qamdo, 57 years in Taimyr), contain larger calibration/verification differences (0.18 in Taimyr) and appear in class-B. These reconstructions certainly meet the criteria for a successful TRC calibration, but they may contain a marginally verifiable climate signal. This is either because the calibration/verification differences are large (e.g. 0.63 in Qilian), the calibration period was truncated due to some inconsistency (e.g. Tatra, see the fourth column in Table 6), or the overall correlation is low (e.g. 0.17 in Upper Wright Lakes). However, a weak calibration result does not necessarily mean that a TRC contains no climate

signal, but might indicate that the instrumental station record is too short (Esper et al., 2010), of poor quality (Böhm et al., 2001, 2010; Parker, 1994), or too remote (Cook et al., 2013).

Perhaps a good example, highlighting the importance of using several categories to evaluate a TRC, is the case of the Alps (larch) record. The Alps TRC correlates well ($r = 0.70$) over 140 years of regional instrumental temperatures, and thus ranks #3 in the *Climate Signal* metric (calibration/verification difference is 0.07, calibration period not truncated). However, these calibration statistics were obtained over the period 1864–2003 during which the TRC's mean replication is 1379 series. Concurrently, the average number of TRW series in the 11th century reaches only 22, which produces an 11th/20th century ratio of 2% (see Table 3). Though certainly an extreme example, it nicely demonstrates how a large-scale reconstruction produced focusing on 20th century climate signals, can result in an overestimation of statistical skill over the past millennium.

3.3. Ranking implications

Over recent decades a number of statistically valid methods have been developed to describe a TRC's signal strength. Examples include the *Expressed Population Signal* (Wigley et al., 1984), bootstrap confidence intervals (Briffa et al., 1992), ensemble calibration technique (Frank et al., 2010), and reduced sample calibration trials (Esper et al., 2012b). All of these dendro-specific statistics help estimate the temporally varying skill of tree-ring based climate reconstructions, but the methods are largely inapplicable to other proxy archives, and are not used in large-scale, multi-proxy reconstructions (Pages 2k Consortium, 2013).

By providing an assessment and ranking of TRCs, we attempt to bridge the gap between the tree-ring, modeling, and multi-proxy communities. While some of the scores and metrics used here have not been rigorously validated, we believe that the development of an intuitive ranking system that can be universally applied to all TRCs will foster the judicious use of tree-ring data in large-scale reconstructions. For example, if NH temperature variability during medieval times is of interest, it is not meaningful to include TRCs with only a few samples during the 11th century, i.e. researchers might want to avoid reconstructions with low *Sample Replication* scores (Table 3). Similarly, if the full spectrum of past temperature variability is of interest, one might want to include only those TRCs retaining centennial to millennial scale variance, i.e. exclude records with low *Chronology Development* scores (Table 5).

These arguments lead to a list of recommendations:

- R1 Avoid integrating tree-ring chronologies that emphasize decadal scale variance when intending to reconstruct centennial to millennial scale temperature variance.
- R2 Avoid overrating tree-ring chronologies that average many measurement series in the 20th century, but only few series at the beginning of the last millennium.
- R3 Pay attention to the tree-ring chronology sample composition and potentially changing data sources over the past millennium (different sites, buildings, valleys).
- R4 Consider replication and inter-series correlation changes when interpreting tree-ring based climate reconstructions.
- R5 Differentiate between composite tree-ring chronologies that integrate data from varying sources (living/remnant/historical/sub-fossil) and tree-ring chronologies that integrate data from only old living trees, and acknowledge potential biases due to changing tree ages over the past millennium.
- R6 Do not only focus on the calibration statistics from comparisons with instrumental climate data, as this perspective can

give the false impression that reconstruction skill persists throughout the past millennium.

We acknowledge that some of the metrics presented here contain partly redundant information, e.g. lower replication or reduced Rbar values typically result in weaker correlations with instrumental climate. There are also other TRC characteristics that could be used to assess tree-ring based temperature reconstructions, though these appeared difficult to quantify with simple measures. Examples include the TRC serial correlation (Meko, 1981) and climate signal after trend removal (von Storch et al., 2004). For instance, an assessment of serial correlation in both tree-ring and instrumental temperature data might reveal a larger lag-1 autocorrelation in a TRC (likely due to biological memory effects; Esper et al., 2015), suggesting a coherence deficiency and reduced skill of a long-term climate reconstruction. Similarly, an assessment of the climate signal after removing low frequency variance (e.g. increasing 20th century temperature trend), from the instrumental and proxy data, increases the degrees of freedom of the calibration statistics and supports the estimation of signal strength in the high frequency domain. However, correctly evaluating these properties in a large network of millennial-length TRCs, including several records for which the underlying measurement data are not available, is not feasible.

Our review clearly indicates that solely focusing on the calibration statistics overlooks a number of additional, important characteristics inherent to tree-ring based climate reconstructions. When evaluating large TRC networks it is important to keep in mind that the 20th century instrumental data (i) contain gaps, breakpoints, and biases (Hinkel et al., 2003; Landsberg, 1981; Oke, 2007), (ii) are of substantially varying length depending on the study region (e.g. in Europe versus central Asia; Cook et al., 2013), and (iii) are recorded at greatly differing distances from the tree-ring sampling sites. The suitability of a station record is additionally influenced by the topography (flat or mountainous), the elevation difference between tree and station sites, and regional synoptic weather patterns. The use of gridded climate data does not necessarily overcome these shortcomings as they rely on the same (Jones et al., 1999) or even fewer (Krusic et al., 2015) station data.

4. Conclusions

Thirty-nine millennial-length temperature reconstructions are ranked based on a rating scheme that considers basic TRC characteristics commonly considered by dendrochronologists. The TRC characteristics were grouped into five composite scores: *Data Homogeneity*, *Sample Replication*, *Growth Coherence*, *Chronology Development*, and *Climate Signal*. It is argued that consideration of these characteristics, beyond the tree-ring community, will improve the development of large-scale temperature reconstructions that utilize tree-ring data from different regions and continents. Similarly, the rankings produced for each score supports this objective, as they facilitate the selection process of TRCs when addressing paleoclimatic objectives. For example, researchers might not want to include TRCs resting on only a few trees during the 11th century, in a study addressing the magnitude and spatial extent of warmth during medieval times. This, and other recommendations are expressed towards the end of this review paper.

A systematic comparison of the TRC characteristics permitted ranking of the 39 millennial-length temperature reconstructions into four groups (class-A to class-D) for each of the five categories. No reconstruction scores top in all five categories, but each record has its particular strengths and weaknesses. Nevertheless, there are some reconstructions that overall perform better than others.

These include N-Scan and Finland from Europe; E-Canada from North America; Yamal and Dzhelo from Asia. Reconstructions performing less well include W-Himalaya and Karakorum from Asia; Tatra and S-Finland from Europe; and Great Basin from North America. The rankings presented here can be used to select and exclude particular records for producing hemispheric scale reconstructions. The fact that some of the records appear more often towards the bottom of a ranking table does not mean they cannot be used for climate reconstruction purposes, but implies users of these data need to be aware of potential weaknesses that may inadvertently affect their experiment. This review of millennial-long TRCs will be updated as new reconstructions are produced. Updates will be published online at: www.blogs.uni-mainz.de/fb09climatology.

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